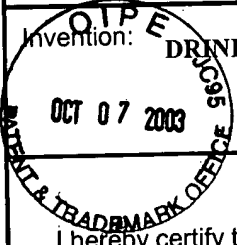
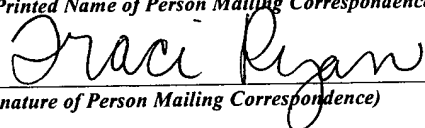


OCT 08 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 46538-2
Applicant(s): Brian Edward Unkenholz			
Serial No. 10/616,119	Filing Date 7/9/2003	Examiner TBD	Group Art Unit TBD
Invention: DRINKING VESSEL			
			
I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 5px; margin: 10px 0;">Information Disclosure Statement, Form PTO-A820 and copy of referenced patents, certificate of mailing and postal receipt card</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <div style="text-align: center; margin: 10px 0;"><u>10/7/2003</u> <i>(Date)</i></div> <div style="text-align: center; margin: 10px 0;"><u>Traci Ryan</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i> <u>ET 812457523 US</u> <i>("Express Mail" Mailing Label Number)</i></div>			

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
46536-2

In Re Application Of: **Brian Edward Unkenholz**

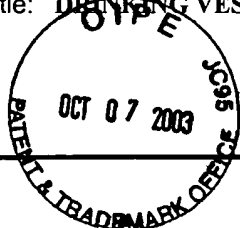
Serial No.
10/616,119

Filing Date
7/9/2003

Examiner
TBD

Group Art Unit
TBD

Title: **DRIVING VESSEL**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
46539-2

In Re Application: **Brian Edward Unkenholz**

Serial No.
10/616,119

Filing Date
7/9/2003

Examiner
TBD

Group Art Unit
TBD

DRINKING VESSEL



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Dated: 10/7/03

C. Brandon Browning, Reg. No. 44,570
Sirote & Permutt, P.C.
PO Box 55727
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Customer No. 26359

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:

Brian Edward Unkenholz

For: DRINKING VESSEL

Serial No: 10/616,119

Filed: July 9, 2003

P.O. Box 55727
Birmingham, Alabama 35255-5727
October 7, 2003

Mail Stop DD
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT


Sir:

The applicant hereby submits an Information Disclosure Statement in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, for his invention of a "DRINKING VESSEL." Attached hereto is a Form PTO-A820 listing five (5) United States patents. Copies of the patents are also enclosed. The applicant submits that none of the enclosed documents render his claims unpatentable; however, they are provided for the Examiner's consideration.

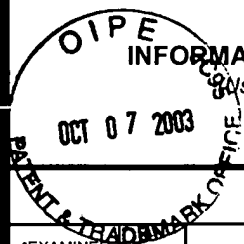
Applicant makes no representation that a search has been made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant references. Applicant does not concede nor should the submission provided herein be interpreted as an indication that the submitted references are applicable prior art.

Respectfully submitted,

SIROTE & PERMUTT, P.C.

By: 
C. Brandon Browning
Reg. No. 44,570
205-930-5141

CBB:tr
Enclosures

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

46538-2

SERIAL NO.

10/616,119

Title: **DRINKING VESSEL** Inventor: **Unkenholz**

FILING

7/9/2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	162,640	4/27/1875	Fowler			
	B	2,052,344	8/25/1936	Edelmann			
	C	4,428,490	1/31/1984	Holloway	215	1	
	D	5,199,633	4/6/1993	Jantzen et al.	229	103.1	
	E	5,597,089	1/28/1997	Smith	220	710	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.